

DISCLOSURE OF SIGNIFICANT FINANCIAL INTEREST

This form must be completed before the College can submit your federal grant proposal. Before completing, please read the Financial Disclosure Policy. The following disclosure is made pursuant to the requirements of the PHS rule "Objectivity in Research" (42 CFR Part 50 Subpart F) and NSF rule "The Investigator Financial Disclosure Policy." This disclosure must be updated annually, and whenever new reportable significant financial interests are acquired.

DEFINITIONS

Significant Financial Interest—Anything of monetary value, including but not limited to salary or other payments for services (e.g., consulting fees or honoraria), equity interests, and intellectual property rights. The term does not include (a) salary, royalties or other remuneration from Hampshire College, (b) income from seminars, lectures or teaching engagements sponsored by public or nonprofit entities, (c) income from service on advisory committees or review panels for public or nonprofit entities, (d) equity interest in business enterprises or entities if the value of such interests both does not exceed \$10,000 and does not represent more than a 5% ownership interest for any one enterprise or entity when aggregated for the investigator and the investigator's spouse and dependent children, and (e) salary, royalties, or other payments that are not expected to exceed \$10,000 over the next twelve month period when aggregated for the investigator and the investigator's spouse and dependent children.

Investigator—The Principal Investigator and any other person at the College who is responsible for the design, conduct or reporting of research educational or service activities funded, or proposed for funding, by certain federal agencies. Typically this will include the Principal Investigator and co-investigators. For the purposes of this disclosure requirement, the term "investigator" includes the investigator's spouse and dependent children.

*DISCLOSURE		
Investigator Name:	School	If there are no significant financial interests to disclose, please check here: <input type="checkbox"/>
Campus phone:	E-mail address:	
Sponsor:	Remuneration: \$	
Proposal title:		
Source (identify):	Type of Organization: <input type="checkbox"/> pharmaceutical firm <input type="checkbox"/> biotech firm	<input type="checkbox"/> engineering firm <input type="checkbox"/> software firm <input type="checkbox"/> other:
NATURE OF REMUNERATION THAT IS GREATER THAN \$10,000:		
<input type="checkbox"/> salary <input type="checkbox"/> royalties <input type="checkbox"/> consulting <input type="checkbox"/> licensing <input type="checkbox"/> honoraria <input type="checkbox"/> other:		
TYPES OF HOLDING WHOSE FAIR MARKET VALUE IS GREATER THAN \$10,000:		
<input type="checkbox"/> stocks/stock options <input type="checkbox"/> patents/copyrights <input type="checkbox"/> other:		
EQUITY INTEREST OF GREATER THAN 5%:		
Enterprise or Entity:		
SIGNATURE		
Investigator's Signature: _____		Date: _____

* Please note a separate form is required for each enterprise or entity in which a significant financial interest is identified.